

## A Cauchy-Green Formula on the Unit Sphere in $\mathbf{C}^2$

John T. Anderson and John Wermer

**ABSTRACT.** In 1977 G. Henkin introduced an integral formula for solving  $\bar{\partial}_b f = \mu$  where  $\mu$  is a measure, on the boundary of a smooth strictly convex domain. This result is closely related to a “Cauchy-Green” formula on the sphere (see Chen and Shaw [3]). We give a direct elementary proof of the Cauchy-Green Theorem on the unit sphere and derive Henkin’s solution of the  $\bar{\partial}_b$  equation from this. We also give an application to an approximation result.

### 1. Introduction

Let  $\Omega$  be a domain in the plane, with smooth boundary  $\Gamma$ . The classical Cauchy-Green formula states that for any  $\phi \in C^1(\bar{\Omega})$  and  $z \in \Omega$ ,

$$(1.1) \quad \phi(z) = \frac{1}{2\pi i} \int_{\Gamma} \frac{\phi(\zeta)}{\zeta - z} d\zeta - \frac{1}{2\pi i} \int_{\Omega} \frac{\partial \phi}{\partial \bar{\zeta}} \frac{d\bar{\zeta} \wedge d\zeta}{\zeta - z}$$

Note that the first term on the right of (1.1) is a holomorphic function  $\Phi$  of  $z$  in the domain  $\Omega$ . In fact,  $\Phi$  extends continuously to  $\bar{\Omega}$ , and hence defines an element of the algebra  $A(\bar{\Omega})$  consisting of functions holomorphic in  $\Omega$  and continuous on  $\bar{\Omega}$ . Of course, if  $\phi \in A(\bar{\Omega})$ , (1.1) reduces to the Cauchy integral formula and  $\Phi = \phi$ .

The representation (1.1) has many applications in complex analysis. In the theory of approximation of continuous functions on a compact set  $K \subset \mathbf{C}$  by rational functions with poles off  $K$ , one is led by considerations of duality to examine measures supported on  $K$ . The Cauchy transform of such a measure  $\mu$  is defined by

$$(1.2) \quad \hat{\mu}(z) = \int_K \frac{d\mu(\zeta)}{\zeta - z}$$

The integral defining  $\hat{\mu}$  converges absolutely for almost all  $z \in \mathbf{C}$ . Using (1.1), one can easily show that for any smooth compactly supported function  $\phi$ ,

$$(1.3) \quad \int_K \phi(z) d\mu(z) = \frac{1}{2\pi i} \int_{\mathbf{C}} \frac{\partial \phi}{\partial \bar{z}} \hat{\mu}(z) d\bar{z} \wedge dz$$

That is,  $\hat{\mu}$  satisfies the equation

$$(1.4) \quad \frac{\partial \hat{\mu}}{\partial \bar{z}} = -\pi \mu$$

---

1991 *Mathematics Subject Classification.* Primary 32A25, Secondary 32E30.

©0000 (copyright holder)

in the sense of distributions, and hence defines a holomorphic function on  $\mathbf{C} \setminus K$ . The Cauchy transform is a key tool in rational approximation theory in the plane.

We have been motivated by problems of rational approximation for subsets of the boundary  $S$  of the unit ball in  $\mathbf{C}^2$ . It is possible to do a kind of function theory on  $S$  analogous to the theory of analytic functions in the plane. The operator  $\partial/\partial\bar{z}$  is replaced by the tangential Cauchy-Riemann operator

$$(1.5) \quad X = z_2 \frac{\partial}{\partial\bar{z}_1} - z_1 \frac{\partial}{\partial\bar{z}_2}.$$

$X$  is well-defined on  $C^1(S)$  and for any relatively open subset  $\Omega$  of  $S$ , annihilates the restrictions to  $\Omega$  of functions holomorphic in a neighborhood of  $\Omega$  in  $\mathbf{C}^2$ . The solutions to  $X\phi = 0$  on  $\Omega$  are known as CR functions on  $\Omega$ . A good general reference for the theory of CR functions is the book [2].

One would like an analogue of the Cauchy transform for measures on  $S$ . Given a measure  $\mu$  on  $S$ , G. Henkin in 1977 [4] constructed a function  $K_\mu$ , summable with respect to three-dimensional Hausdorff measure  $d\sigma$  on  $S$ , satisfying

$$(1.6) \quad \bar{\partial}_b K_\mu = -2\pi^2 \mu$$

in the sense of distributions, i.e.,

$$(1.7) \quad \int_S \phi(z) d\mu(z) = \frac{1}{2\pi^2} \int_S K_\mu X\phi d\sigma(z)$$

for all smooth  $\phi$ , provided that  $\mu$  satisfies the necessary condition that  $\int_S P d\mu = 0$  for all polynomials  $P$ . Note that (1.7) implies that  $K_\mu$  is a CR function (in the sense of distributions) off the support of  $\mu$ .

In attempting to use and understand Henkin's construction in the study of rational approximation on subsets of  $S$ , we were led to the analogue of the Cauchy-Green formula (1.1) that we present below. It plays the same role with respect to Henkin's formula (1.6) as the classical Cauchy-Green formula on the plane does to equation (1.4). The resulting formula, which is contained in our Theorems 2.1 and 3.1 below, is not new. It is given in a more general setting in Chen and Shaw ([3], see the remarks following Corollary 11.3.5) as a consequence of the theory of Henkin for solving the  $\bar{\partial}_b$  equation on the boundary of a strictly convex domain in  $\mathbf{C}^n$ . Our approach to establishing this Cauchy-Green formula on the sphere in  $\mathbf{C}^2$  is direct and elementary, and leads immediately to the property (1.6) of Henkin's transform  $K_\mu$ .

Let  $A(\mathbf{B})$  denote the algebra of functions holomorphic in the open unit ball  $\mathbf{B}$  of  $\mathbf{C}^2$  and continuous on its closure. We seek a kernel  $H(\zeta, z)$ , defined for  $(\zeta, z) \in S \times S$ , such that for all  $\phi \in C^1(S)$ , there exists  $\Phi \in A(\mathbf{B})$  with

$$(1.8) \quad \phi(z) = \Phi(z) + c \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

for all  $z \in S$ , where  $\omega(\zeta) = d\zeta_1 \wedge d\zeta_2$ ,  $\bar{\partial}\phi = (\partial\phi/\partial\bar{z}_1)d\bar{z}_1 + (\partial\phi/\partial\bar{z}_2)d\bar{z}_2$ , and  $c$  is a universal constant. We call (1.8) a "Cauchy-Green formula for  $S$ ". We will demand that  $H$  have the following properties:

- a:**  $H(\zeta, z)$  is continuous on  $S \times S \setminus \{z = \zeta\}$ ;
- b:** For all unitary transformations  $U$  of determinant 1,  $H(U\zeta, Uz) = H(\zeta, z)$ ;
- c:**  $\int_S |H(\zeta, e_1)| d\sigma(\zeta) < \infty$ , where  $e_1 = (1, 0)$ , and  $d\sigma$  is three-dimensional Hausdorff measure<sup>1</sup> on  $S$ .

Properties (b) and (c) together with the unitary invariance of  $d\sigma$  imply that  $H$  is uniformly summable with respect to  $d\sigma$ , i.e., there exists a constant  $C$  so that

$$(1.9) \quad \int_S |H(\zeta, z)| d\sigma(\zeta) \leq C, \quad \forall z \in S$$

They also imply that the integral

$$(1.10) \quad K(z) \equiv \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

appearing in (1.8) is finite for all  $z \in S$ , since  $\bar{\partial}\phi \wedge \omega$  is absolutely continuous with respect to  $d\sigma$ . A routine calculation gives

$$(1.11) \quad \bar{\partial}\phi \wedge \omega = 2(X\phi) d\sigma$$

on  $S$ , where  $X$  is the operator in (1.5), for smooth  $\phi$ . We can say more about  $K$ :

**LEMMA 1.1.** *If  $H$  satisfies properties (a), (b) and (c), then  $K$  is continuous on  $S$ .*

**PROOF.** Fix  $z \in S$ . For  $\epsilon > 0$ , put  $S_\epsilon(z) = S \setminus \{|z - \zeta| \leq \epsilon\}$  and  $S'_\epsilon = S \cap \{|z - \zeta| \leq \epsilon\}$ . Let

$$K_\epsilon(z) = \int_{S_\epsilon(z)} H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

Then  $K_\epsilon$  is continuous on  $S$ , by property (a) of  $H$ . For all  $z \in S$ , by (1.11),

$$|K(z) - K_\epsilon(z)| = \left| \int_{S'_\epsilon(z)} H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \right| \leq M \int_{S'_\epsilon(z)} |H(\zeta, z)| d\sigma(\zeta)$$

where  $M$  is a constant independent of  $z$  and  $\epsilon$ . Let  $e_1 = (1, 0)$  and choose a unitary transformation  $U$  of  $\mathbf{C}^2$  with  $Ue_1 = z$ ; then  $U(S'_\epsilon(e_1)) = S'_\epsilon(z)$ . Then using property (b),

$$\int_{S'_\epsilon(z)} |H(\zeta, z)| d\sigma(\zeta) = \int_{S'_\epsilon(e_1)} |H(U\eta, Ue_1)| d\sigma(U\eta) = \int_{S'_\epsilon(e_1)} |H(\eta, e_1)| d\sigma(\eta)$$

Since  $\int_S |H(\eta, e_1)| d\sigma(\eta)$  is finite by assumption (c),

$$\lim_{\epsilon \rightarrow 0} \int_{S'_\epsilon(e_1)} |H(\eta, e_1)| d\sigma(\eta) = 0$$

It follows that  $K_\epsilon \rightarrow K$  uniformly on  $S$ , and so  $K$  is continuous, as claimed.  $\square$

We say that a measure  $\mu$  on  $S$  is orthogonal to polynomials if

$$(1.12) \quad \int_S P d\mu = 0, \quad \forall \text{ holomorphic polynomials } P$$

Given any measure  $\mu$  on  $S$ , define

$$(1.13) \quad K_\mu(\zeta) = \int_S H(\zeta, z) d\mu(z), \quad \zeta \in S$$

---

<sup>1</sup> $d\sigma$  is *not* normalized;  $\sigma(S) = 2\pi^2$ .

LEMMA 1.2. *A kernel  $H(\zeta, z)$  satisfying (a), (b) and (c) satisfies (1.8) if and only if for each measure  $\mu$  on  $S$  orthogonal to polynomials*

$$(1.14) \quad \int_S \phi \, d\mu = c \int_S K_\mu \bar{\partial}\phi \wedge \omega$$

for all  $\phi \in C^1(S)$ .

PROOF. Suppose first that  $H(\zeta, z)$  satisfies (a), (b), (c) and (1.8). Let  $\mu$  be a measure on  $S$  orthogonal to polynomials. Fix  $\phi \in C^1(S)$ , and let  $\Phi \in A(\mathbf{B})$  be as in (1.8). Since polynomials are dense in  $A(\mathbf{B})$ ,  $\int_S \Phi \, d\mu = 0$ . Hence by (1.8),

$$\begin{aligned} \int_S \phi(z) d\mu(z) &= \int_S \left( c \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \right) d\mu(z) \\ &= \int_S \left( c \int_S H(\zeta, z) d\mu(z) \right) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \\ &= c \int_S K_\mu(\zeta) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \end{aligned}$$

so that (1.14) holds. The application of Fubini's theorem is justified by (1.9).

Next, suppose that (1.14) holds, for  $H$  satisfying (a), (b) and (c). Choose a measure  $\mu$  on  $S$  orthogonal to polynomials. Fix a function  $\phi \in C^1(S)$ , and define

$$\Phi(z) = \phi(z) - c \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

By Lemma 1.1,  $\Phi$  is continuous on  $S$ , and

$$\begin{aligned} \int_S \Phi(z) d\mu(z) &= \int_S \phi(z) d\mu(z) - c \int_S \left( \int_S H(\zeta, z) d\mu(z) \right) \bar{\partial}\phi \wedge \omega(\zeta) \\ &= \int_S \phi(z) d\mu(z) - c \int_S K_\mu(\zeta) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \\ &= 0 \end{aligned}$$

by (1.14). Since this holds for all  $\mu$  orthogonal to polynomials,  $\Phi \in A(\mathbf{B})$ , and so (1.8) follows.  $\square$

In 1977, in [4] G. Henkin introduced the kernel

$$(1.15) \quad H(\zeta, z) = \frac{\bar{\zeta}_1 \bar{z}_2 - \bar{\zeta}_2 \bar{z}_1}{|1 - \langle z, \zeta \rangle|^2}, \quad \zeta, z \in S$$

where  $\langle, \rangle$  denotes the Hermitian inner product  $\langle z, \zeta \rangle = z_1 \bar{\zeta}_1 + z_2 \bar{\zeta}_2$ , and proved the formula (1.14) using this kernel. It is easy to check that  $H$  satisfies properties (a), (b) and (c) above. Formula (1.14) on  $S$  is actually very special case of a class of general integral formulae on smooth convex domains established in [4]. In her thesis [5], H.P. Lee gave an elementary proof of Henkin's formula for  $S$ ; the paper [8] of Varopoulos also contains an exposition of Henkin's results on the sphere. For applications of Henkin's formula to rational approximation, see the paper [6] of Lee and Wermer.

In this paper, we shall

1. give a direct proof of (1.8), using Henkin's kernel (1.15);
2. give a formula for  $\Phi$ , in terms of  $\phi$ ;
3. deduce an approximation result (Theorem 4.1) from (1.8).

**1.1. Acknowledgment.** The first author wishes to thank Joseph Cima for helpful conversations on the results in section 3.

## 2. A Cauchy-Green Formula using Henkin's Kernel

With  $H$  as in (1.15) and  $\phi \in C^1(S)$  as in section 1 put

$$K(z) = \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

For  $a \in \text{int}(\Delta)$ , put  $r = \sqrt{1 - |a|^2}$  and denote by  $\gamma_a$  the circle  $z_2 = r\tau$ ,  $|\tau| = 1$  in the  $z_2$ -plane.

LEMMA 2.1. *Fix  $a \in \Delta$ . For  $n = 0, 1, 2, \dots$  we have, putting  $z = (a, z_2)$ ,*

$$(2.1) \quad \int_{\gamma_a} K(z) z_2^n dz_2 = 4\pi^2 \int_{\gamma_a} \phi(z) z_2^n dz_2$$

PROOF.

$$\begin{aligned} \int_{\gamma_a} K(a, z_2) z_2^n dz_2 &= \int_{\gamma_a} \left( \int_S \frac{\bar{\zeta}_1 \bar{z}_2 - \bar{\zeta}_2 \bar{a}}{|1 - a\bar{\zeta}_1 - z_2 \bar{\zeta}_2|^2} \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \right) z_2^n dz_2 \\ &= \int_S \left( \int_{|\tau|=1} \frac{(\bar{\zeta}_1 r \bar{\tau} - \bar{\zeta}_2 \bar{a}) r^{n+1} \tau^n d\tau}{(1 - a\bar{\zeta}_1 - r\tau \bar{\zeta}_2)(1 - \bar{a}\zeta_1 - r\bar{\tau}\zeta_2)} \right) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \end{aligned}$$

We denote the inner integral by  $I(\zeta)$ . Multiplying both numerator and denominator of the integrand by  $\tau$ , we get

$$I(\zeta) = \int_{|\tau|=1} \frac{(\bar{\zeta}_1 r - \bar{\zeta}_2 \bar{a} \tau) r^{n+1} \tau^n d\tau}{r \bar{\zeta}_2 \left[ \frac{1 - a\bar{\zeta}_1}{r\bar{\zeta}_2} - \tau \right] (1 - \bar{a}\zeta_1) \left[ \tau - \frac{r\zeta_2}{1 - \bar{a}\zeta_1} \right]}$$

Let

$$\tau_1 = \frac{1 - a\bar{\zeta}_1}{r\bar{\zeta}_2}, \quad \text{and} \quad \tau_2 = \frac{r\zeta_2}{1 - \bar{a}\zeta_1}$$

Note that  $\tau_1 \bar{\tau}_2 = 1$ . We have

$$\begin{aligned} |r\zeta_2|^2 - |1 - \bar{a}\zeta_1|^2 &= (1 - |a|^2)(1 - |\zeta_1|^2) - |1 - \bar{a}\zeta_1|^2 \\ &= 1 - |a|^2 - |\zeta_1|^2 + |a|^2 |\zeta_1|^2 - 1 - |\bar{a}|^2 |\zeta_1|^2 + \bar{a}\zeta_1 + a\bar{\zeta}_1 \\ &= -(|a|^2 + |\zeta_1|^2 - \bar{a}\zeta_1 - a\bar{\zeta}_1) \\ &= -|a - \zeta_1|^2 \end{aligned}$$

It follows that  $|r\zeta_2|^2 < |1 - \bar{a}\zeta_1|^2$  unless  $a = \zeta_1$ , and so  $|\tau_2| < 1$ , and  $|\tau_1| > 1$ , for  $\zeta_1 \neq a$ . The Residue Theorem gives

$$\begin{aligned}
\frac{1}{2\pi i} I(\zeta) &= \left[ \frac{\bar{\zeta}_1 r - \bar{\zeta}_2 \bar{a} \tau_2}{r \bar{\zeta}_2 \left( \frac{1-a\bar{\zeta}_1}{r\zeta_2} - \tau_2 \right) (1 - \bar{a}\zeta_1)} \right] r^{n+1} \tau_2^n \\
&= \left[ \frac{\bar{\zeta}_1 r - \bar{\zeta}_2 \bar{a} \left( \frac{r\zeta_2}{1-\bar{a}\zeta_1} \right)}{r \bar{\zeta}_2 \left( \frac{1-a\bar{\zeta}_1}{r\zeta_2} - \frac{r\zeta_2}{1-\bar{a}\zeta_1} \right) (1 - \bar{a}\zeta_1)} \right] r^{n+1} \tau_2^n \\
&= \left[ \frac{(1 - \bar{a}\zeta_1) \bar{\zeta}_1 r - (\bar{\zeta}_2 \bar{a}) r \zeta_2}{r \bar{\zeta}_2 \left( \frac{|1-\bar{a}\zeta_1|^2}{r\zeta_2} - r\zeta_2 \right) (1 - \bar{a}\zeta_1)} \right] r^{n+1} \tau_2^n \\
&= \left[ \frac{\bar{\zeta}_1 r - \bar{a} |\zeta_1|^2 r - \bar{a} |\zeta_2|^2 r}{|1 - \bar{a}\zeta_1|^2 - r^2 |\zeta_2|^2} \right] \frac{r^{n+1} \tau_2^n}{1 - \bar{a}\zeta_1} \\
&= \left[ \frac{(\bar{\zeta}_1 - \bar{a}) r}{|\zeta_1 - a|^2} \right] \frac{r^{n+1} \tau_2^n}{1 - \bar{a}\zeta_1} \\
&= \left( \frac{r}{\zeta_1 - a} \right) r^{n+1} \left( \frac{r\zeta_2}{1 - \bar{a}\zeta_1} \right)^n \left( \frac{1}{1 - \bar{a}\zeta_1} \right) \\
&= \frac{r^{2n+2} \zeta_2^n}{(1 - \bar{a}\zeta_1)^{n+1}} \left( \frac{1}{\zeta_1 - a} \right)
\end{aligned}$$

Thus

$$(2.2) \quad I(\zeta) = 2\pi i \cdot \frac{r^{2n+2} \zeta_2^n}{(1 - \bar{a}\zeta_1)^{n+1}} \left( \frac{1}{\zeta_1 - a} \right)$$

Let  $S_\epsilon$  be the part of  $S$  lying over the region

$$\{|\zeta_1 - a| \geq \epsilon\} \cap \{|\zeta_1| \leq 1\}$$

in the  $\zeta_1$ -plane. Let  $T_\epsilon$  denote the boundary of  $S_\epsilon$ . We claim that

$$(2.3) \quad \int_{\gamma_a} K(z) z_2^n dz_2 = - \lim_{\epsilon \rightarrow 0} \left[ \int_{T_\epsilon} \phi(\zeta) I(\zeta) \omega(\zeta) \right]$$

To establish the claim, note that

$$\begin{aligned}
\int_{\gamma_a} K(z) z_2^n dz_2 &= \int_S \bar{\partial} \phi \wedge \omega \cdot I \\
&= \lim_{\epsilon \rightarrow 0} \int_{S_\epsilon} \bar{\partial} \phi \wedge \omega \cdot I \\
&= \lim_{\epsilon \rightarrow 0} \int_{S_\epsilon} d(\phi \omega I)
\end{aligned}$$

since  $I$  is holomorphic on  $S_\epsilon$  for  $\epsilon > 0$ . By Stokes' Theorem, the latter integral equals

$$- \int_{T_\epsilon} \phi \omega I$$

proving the claim.

Note that  $T_\epsilon$  is the torus

$$\zeta_1 = a + \epsilon e^{i\theta}, \quad \zeta_2 = \sqrt{1 - |\zeta_1|^2} e^{i\psi}, \quad 0 \leq \theta, \psi \leq 2\pi.$$

On  $T_\epsilon$  we have the following relations:

$$\begin{aligned}\phi(\zeta) &= \phi(a, re^{i\psi}) + O(\epsilon); \\ \frac{\zeta_2^n}{(1 - \bar{a}\zeta_1)^{n+1}} &= \frac{r^n e^{in\psi}}{(1 - |a|^2)^{n+1}} + O(\epsilon) = \frac{r^n e^{in\psi}}{r^{2n+2}} + O(\epsilon); \\ d\zeta_1 &= i\epsilon e^{i\theta} d\theta, \quad d\bar{\zeta}_1 = -i\epsilon e^{-i\theta} d\theta; \\ d\zeta_2 &= \frac{-\zeta_1 d\bar{\zeta}_1 - \bar{\zeta}_1 d\zeta_1}{2\sqrt{1 - |\zeta_1|^2}} e^{i\psi} + i\sqrt{1 - |\zeta_1|^2} e^{i\psi} d\psi = ire^{i\psi} d\psi + O(\epsilon); \\ \frac{1}{\zeta_1 - a} &= \frac{1}{\epsilon e^{i\theta}}.\end{aligned}$$

Using this information together with (2.2) and (2.3) we obtain

$$\int_{\gamma_a} K(z) z_2^n = \lim_{\epsilon \rightarrow 0} \left[ -2\pi i \int_{T_\epsilon} \phi(\zeta) r^{2n+2} \frac{\zeta_2^n}{(1 - \bar{a}\zeta_1)^{n+1}} \frac{1}{(\zeta_1 - a)} d\zeta_1 \wedge d\zeta_2 \right]$$

For fixed  $\epsilon$ , we rewrite the expression in brackets as

$$-2\pi i \int_{T_\epsilon} \phi(a, re^{i\psi}) r^n e^{in\psi} id\theta \wedge ire^{i\psi} d\psi + O(\epsilon)$$

Letting  $\epsilon$  go to zero we obtain

$$\begin{aligned}\int_{\gamma_a} K(z) z_2^n dz_2 &= 2\pi \int_0^{2\pi} d\theta \int_0^{2\pi} \phi(a, re^{i\psi}) (re^{i\psi})^n (ire^{i\psi}) d\psi \\ &= 4\pi^2 \int_{\gamma_a} \phi(z) z_2^n dz_2\end{aligned}$$

This completes the proof of (2.1) and Lemma 2.1.  $\square$

Next, we define an operator  $T$  on  $C^1(S)$  as follows:

$$(2.4) \quad (T\phi)(z) = 4\pi^2 \phi(z) - K(z), \quad \text{for } z \in S, \phi \in C^1(S)$$

Letting  $X$  denote the tangential Cauchy-Riemann operator on  $S$  as in section 1, using (1.11) we can write

$$T\phi = 4\pi^2 \phi - \int_S H(\zeta, z) (X\phi)(\zeta) d\sigma(\zeta)$$

LEMMA 2.2. *Fix  $\phi \in C^1(S)$ . Let  $L$  be a complex line in  $\mathbf{C}^2$ . Then the restriction of  $T(\phi)$  to  $L \cap S$  extends analytically to  $L \cap \mathbf{B}$ .*

PROOF. Lemma 2.1 gives us, for each  $a \in \text{int}(\Delta)$ , that

$$(2.5) \quad \int_{\gamma_a} (T\phi)(z) z_2^n dz_2 = 0, \quad n = 0, 1, 2, \dots$$

Note that  $\gamma_a = L_a \cap S$ , where  $L_a$  is the line  $\{z_1 = a\}$ . Then (2.5) implies that  $T\phi$  extends analytically to the disk  $L_a \cap \mathbf{B}$ . Using the unitary invariance of  $H, \sigma$ , and  $X$ , it is not hard to check that for all  $\phi \in C^1(S)$ ,

$$(2.6) \quad (T\phi) \circ U = T(\phi \circ U)$$

Fix a complex line  $L$ . Let  $N$  denote the complex line passing through the origin which is orthogonal to  $L$ , and let  $z^0$  denote the intersection point  $N \cap L$ . Write  $L = \{z^0 + \zeta t \mid t \in \mathbf{C}\}$  for some unit vector  $\zeta$ . If  $U$  is a unitary transformation with  $Ue_2 = \zeta$ , where  $e_2 = (0, 1)$  then  $U$  maps the line  $\{z_2 = 0\}$  to  $N$ , and maps some point  $(a, 0)$  to  $z^0$ . Then  $U((a, 0) + t(0, 1)) = z^0 + t\zeta$ , for all  $t \in \mathbf{C}$ . So  $U$  maps

the line  $L_a$  to  $L$  and maps the disk  $L_a \cap \mathbf{B}$  to  $L \cap \mathbf{B}$ . By (2.6),  $T\phi|_{L \cap S}$  extends analytically to the disk  $L \cap \mathbf{B}$  if and only if  $(T\phi) \circ U|_{L_a \cap S}$  extends to  $L_a \cap \mathbf{B}$ . This last is true by (2.5), as we have noted earlier, and so the proof is complete.  $\square$

By Lemma 1.1, since  $H$  satisfies properties (a), (b) and (c) of section 1,  $K$  and thus  $T\phi$  are continuous on  $S$ . By Lemma 2.2,  $T\phi$  has the ‘‘one-dimensional extension property’’ as defined by Stout in [7], p. 105. A theorem of Agranovskii and Val’skii [1] then gives that  $T\phi$  lies in the ball algebra  $A(\mathbf{B})$ . Putting  $\Phi = T(\phi)$ , we have arrived at

**THEOREM 2.3.** *Let  $\phi \in C^1(S)$ . Then there exists  $\Phi \in A(\mathbf{B})$  such that*

$$4\pi^2\phi(z) = \Phi(z) + \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

where  $H$  is Henkin’s kernel

$$H(\zeta, z) = \frac{\bar{\zeta}_1 \bar{z}_2 - \bar{\zeta}_2 \bar{z}_1}{|1 - \langle z, \zeta \rangle|^2}$$

### 3. The Cauchy-Green formula and the Cauchy transform

In this section we identify the ball algebra function  $\Phi$  appearing in Theorem 2.3 as a certain principal value of the Cauchy transform of  $\phi$ . The Cauchy kernel for  $\mathbf{B}$  is

$$C(z, \zeta) = \frac{1}{(1 - \langle z, \zeta \rangle)^2}$$

For  $z \in S$  we set

$$N_\epsilon(z) = \{\zeta \in S : |\langle \zeta, z \rangle| > 1 - \epsilon\}$$

and we denote the boundary of  $N_\epsilon(z)$  by  $\Gamma_\epsilon(z)$ .

**THEOREM 3.1.** *Fix  $\phi \in C^1(S)$ . If  $\Phi$  is as in Theorem 2.3, then for  $z \in S$ ,*

$$\Phi(z) = 2 \lim_{\epsilon \rightarrow 0} \int_{S \setminus N_\epsilon(z)} \phi(\zeta) C(z, \zeta) d\sigma(\zeta)$$

**REMARK 3.2.** Since  $C(z, \cdot) \notin L^1(d\sigma)$ , it is not immediate that the limit in Theorem 3.1 exists.

**PROOF.** As in sections 1 and 2, set

$$K(z) = \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) = \lim_{\epsilon \rightarrow 0} \int_{S \setminus N_\epsilon(z)} H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta)$$

For  $\epsilon > 0$  fixed,

$$\begin{aligned} \int_{S \setminus N_\epsilon(z)} H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) &= \int_{S \setminus N_\epsilon(z)} d[H(\zeta, z)\phi(\zeta) \wedge \omega(\zeta)] \\ &\quad - \int_{S \setminus N_\epsilon(z)} [\bar{\partial}H(\zeta, z)] \wedge \phi(\zeta) \wedge \omega(\zeta) \\ &= \int_{\Gamma_\epsilon(z)} H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \\ &\quad - 2 \int_{S \setminus N_\epsilon(z)} (XH)(\zeta, z) \phi(\zeta) d\sigma(\zeta) \end{aligned}$$



by Stokes' theorem, if  $\Gamma_\epsilon(z)$  is oriented as the boundary of  $S \setminus N_\epsilon(z)$ . We have also used equation (1.11) from section 1. A computation shows (differentiation is in the  $\zeta$  variable)

$$(XH)(\zeta, z) = -C(z, \zeta)$$

so that

$$K(z) = \lim_{\epsilon \rightarrow 0} \left[ \int_{\Gamma_\epsilon(z)} H(\zeta, z) \phi(\zeta) \wedge \omega(\zeta) - 2 \int_{S \setminus N_\epsilon(z)} C(\zeta, z) \phi(\zeta) d\sigma(\zeta) \right]$$

Since

$$\Phi(z) = 4\pi^2 \phi(z) - K(z)$$

by Theorem 2.3, the proof will be complete if we can show that

$$(3.1) \quad \lim_{\epsilon \rightarrow 0} \int_{\Gamma_\epsilon(z)} H(\zeta, z) \phi(\zeta) \wedge \omega(\zeta) = 4\pi^2 \phi(z)$$

To establish (3.1), choose a unitary map  $U$  with  $Ue_1 = z$ . Then for fixed  $\epsilon > 0$ ,

$$\int_{\Gamma_\epsilon(z)} H(\zeta, z) \phi(\zeta) \wedge \omega(\zeta) = \int_{\Gamma_\epsilon(e_1)} H(\eta, e_1) (\phi \circ U)(\eta) \wedge \omega(\eta)$$

The torus  $\Gamma_\epsilon(e_1) = \{\eta : |\eta_1| = 1 - \epsilon\}$ , oriented as the boundary of  $S \setminus N_\epsilon(e_1)$ , is parametrized by

$$\eta_1 = (1 - \epsilon)e^{i\theta_1}, \quad \eta_2 = r_\epsilon e^{i\theta_2}, \quad 0 \leq \theta_1, \theta_2 \leq 2\pi$$

where

$$r_\epsilon = \sqrt{1 - (1 - \epsilon)^2}$$

Then on  $\Gamma_\epsilon(e_1)$ ,

$$\omega(\eta) = d\eta_1 \wedge d\eta_2 = -(1 - \epsilon)r_\epsilon e^{i\theta_1} e^{i\theta_2} d\theta_1 \wedge d\theta_2,$$

$$(\phi \circ U)(\eta) = (\phi \circ U)(e^{i\theta_1}, 0) + O(\epsilon),$$

and

$$H(\eta, e_1) = \frac{-r_\epsilon e^{-i\theta_2}}{|1 - (1 - \epsilon)e^{i\theta_1}|^2}$$

which gives

$$(3.2) \quad \begin{aligned} \int_{\Gamma_\epsilon(z)} H(\zeta, z) \phi(\zeta) \wedge \omega(\zeta) &= \int_{\Gamma_\epsilon(e_1)} H(\eta, e_1) (\phi \circ U)(\eta) \wedge \omega(\eta) \\ &= \int_0^{2\pi} \int_0^{2\pi} \frac{(1 - \epsilon)r_\epsilon^2 e^{i\theta_1} (\phi \circ U)(e^{i\theta_1}, 0)}{|1 - (1 - \epsilon)e^{i\theta_1}|^2} d\theta_1 d\theta_2 + I_\epsilon \end{aligned}$$

where

$$|I_\epsilon| \leq C \int_0^{2\pi} \int_0^{2\pi} \frac{r_\epsilon^2}{|1 - (1 - \epsilon)e^{i\theta_1}|^2} d\theta_1 d\theta_2$$

for some  $C > 0$ . An application of the Poisson integral formula shows that the first integral in (3.2) converges to  $4\pi^2(\phi \circ U)(e_1) = 4\pi^2 \phi(z)$  as  $\epsilon \rightarrow 0$ , while  $\lim_{\epsilon \rightarrow 0} I_\epsilon = 0$ . This completes the proof.  $\square$

#### 4. An Approximation Theorem

Fix  $\phi \in C^1(S)$ . The quantity

$$\text{dist}(\phi, A(\mathbf{B})) = \inf\{\|\phi - g\| : g \in A(\mathbf{B})\}$$

where  $\|\cdot\|$  is the uniform norm on  $S$  measures how closely  $\phi$  can be approximated by polynomials on  $S$ .

THEOREM 4.1. *There exists  $C > 0$  so that for all  $\phi \in C^1(S)$ ,*

$$\text{dist}(\phi, A(\mathbf{B})) \leq C\|X\phi\|$$

PROOF. Let  $\|H\|_1$  denote the  $L^1 - d\sigma$  norm of Henkin's kernel  $H(\cdot, z)$  (which is independent of  $z \in S$ ). By the representation in Theorem 2.3, there exists  $\Phi \in A(\mathbf{B})$  so that for  $z \in S$ ,

$$\begin{aligned} |4\pi^2\phi(z) - \Phi(z)| &= \left| \int_S H(\zeta, z) \bar{\partial}\phi(\zeta) \wedge \omega(\zeta) \right| \\ &= 2 \left| \int_S H(\zeta, z) (X\phi)(\zeta) d\sigma(\zeta) \right| \\ &\leq 2\|H\|_1\|X\phi\| \end{aligned}$$

from which the result follows.  $\square$

#### References

- [1] M.L. Agranovskii and R.E. Val'skii, *Maximality of Invariant Algebras of Functions*, Siberian Math. J. **33** (1983), p. 227–250.
- [2] A. Boggess, *CR Manifolds and the Tangential Cauchy-Riemann Complex*, CRC Press, 1991.
- [3] S.-C. Chen and M.-C. Shaw, *Partial Differential Equations in Several Complex Variables*, American Mathematical Society, 2001
- [4] G. M. Henkin, *The Lewy Equation and Analysis on Pseudoconvex Manifolds*, Russian Math. Surveys, **32:3** (1977); Uspehi Mat. Nauk **32:3** (1977), p. 57–118
- [5] H. P. Lee, *Orthogonal Measures for Subsets of the Boundary of the Ball in  $\mathbf{C}^2$* , Thesis, Brown University, 1979.
- [6] H. P. Lee and J. Wermer, *Orthogonal Measures for Subsets of the Boundary of the Ball in  $\mathbf{C}^2$* , in *Recent Developments in Several Complex Variables*, Princeton University Press, 1981, pp. 277–289.
- [7] E.L. Stout, *The Boundary Values of Holomorphic Functions of Several Complex Variables*, Duke Math. J. **44**, 1977, p. 105–108.
- [8] N. Th. Varopoulos, *BMO functions and the  $\bar{\partial}$ -equation*, Pac. J. Math. **71**, no. 1 (1977), pp. 221–273.

DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, COLLEGE OF THE HOLY CROSS, WORCESTER, MA 01610-2395

*E-mail address:* anderson@radius.holycross.edu

DEPARTMENT OF MATHEMATICS, BROWN UNIVERSITY, PROVIDENCE, RI 02912

*E-mail address:* wermer@math.brown.edu